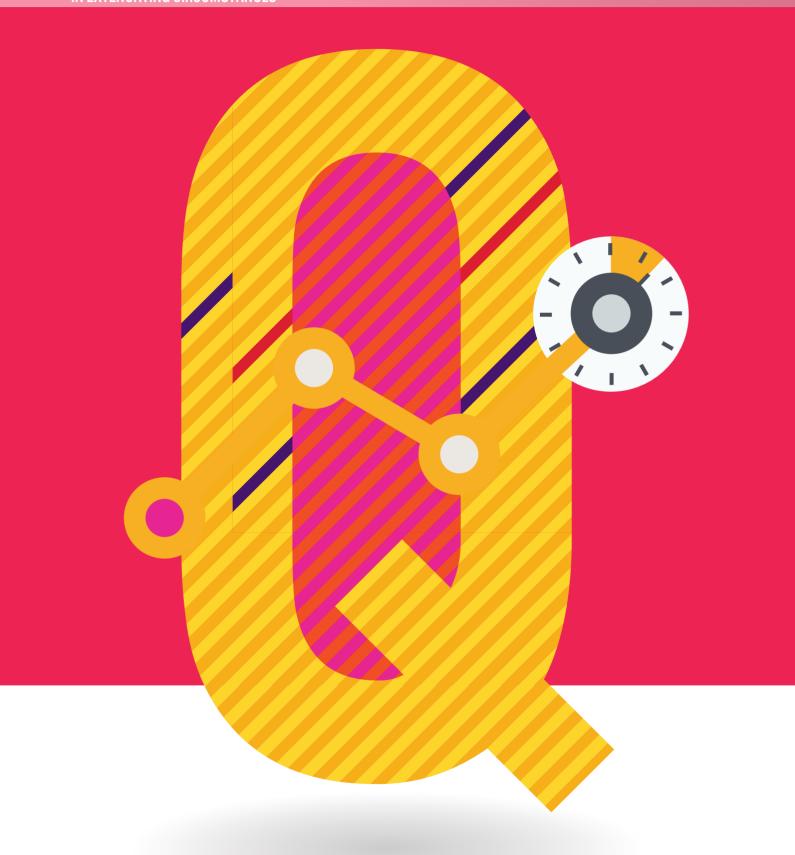
# ASSESSMENT DEADLINES:

COMPASSIONATE CONSIDERATION IN EXTENUATING CIRCUMSTANCES

< INSERT ETB LOGO HERE >



## ASSESSMENT DEADLINES: COMPASSIONATE CONSIDERATION



SECTION 1 LIST OF FIGURES	42	SECTION 5  Compassionate Consideration Process	48
SECTION 2 DEFINITIONS	43	SECTION 6 Compassionate Consideration Appeals	49
PRINCIPLES OF ASSESSMENT IN RELATION TO ASSESSMENT DEADLINES: COMPASSIONATE CONSIDERATION IN EXTENUATING CIRCUMSTANCES	45	APPENDIX 1: Statement from Programme  Coordinator or Centre Manager  APPENDIX 2: Compassionate Consideration	50
SECTION 4  COMPASSIONATE CONSIDERATION ROLES AND RESPONSIBILITIES	47	Application Form  APPENDIX 3: Appeals Application Form  Assessment Deadlines: Short Term Extension/  Compassionate Consideration	

### LIST OF FIGURES



#### FIGURE 1.1

Compassionate Consideration Procedure

Page 8

#### **DEFINITIONS**



#### **Compassionate Consideration**

The Programme Coordinator can enable learners who:

have been prevented from undertaking a specific assessment activity because of extenuating circumstances, to apply to defer the assessment i.e. to be allowed to complete the assessment activity on another occasion.

or

felt their performance has been seriously **impaired** because of extenuating circumstances to apply to repeat the assessment i.e. to be allowed to sit the assessment activity at an alternative sitting. In extenuating circumstances a learner may feel that his/her performance has been seriously impaired and may apply for compassionate consideration due to extenuating circumstances. In such cases supporting relevant evidence/ documentation must accompany the application (see Section 3.3). The application is then processed and either granted or declined. Where the application has been declined the original result of the assessment will apply. Learners have the right to appeal this decision (see Section 6).

## **Examples of Extenuating Circumstances** (Compassionate Consideration)

Extenuating Circumstances are situations or events which unexpectedly interfere with a learner's ability to complete or sit an element of

assessment. Extenuating circumstances which may be considered include, but are not limited to:

- recent physical injury or emotional trauma
- physical disability or chronic/disabling condition such as epilepsy, glandular fever, severe effects of pregnancy or other incapacitating illness of the learner
- recent bereavement of close family member or close friend
- personal injury certified by a medical practitioner
- domestic crisis
- certified mental health issue
- serious illness of a close family member
- serious illness of child or other family member for whom the learner is primary carer
- other extenuating circumstances

Examples of circumstances which are **unlikely to be considered** include, but are not limited to:

- typical symptoms associated with exam stress
- minor illnesses such as a common cold
- holidays
- IT and/or computer failure (excluding in an examination)
- English is not the first language of the learner

Any application in relation to compassionate consideration requires the learner to provide supporting relevant evidence/documentation (see Section 3.3) of the extenuating circumstances.

#### **DEFINITIONS**



#### **Supporting Relevant Evidence/Documentation**

Supporting relevant evidence/documentation refers to a:

- Statement from a qualified professional practitioner. Examples of statements from a qualified professional practitioner necessary for consideration of compassionate consideration include, but are not limited to:
  - Statement from medical practitioner (e.g. doctor, psychologist, etc.)
  - Statement from An Garda Síochána/legal professional
  - Statement from Programme Coordinator or Centre Manager (in extenuating cases, the Programme Coordinator or Centre Manager may be aware of circumstances whereby the learner may be unable to obtain a statement from another qualified professional (e.g. due to financial constraints) and may complete a statement for the learner while maintaining confidentiality (see Appendix 1)).
- RIP.ie or other source (if requested)

All evidence must be on headed paper and must be legible, stamped and dated. All evidence must relate to the specific application for extenuating circumstances being made.

# PRINCIPLES OF ASSESSMENT IN RELATION TO ASSESSMENT DEADLINES: COMPASSIONATE CONSIDERATION IN EXTENUATING CIRCUMSTANCES

3

Quality assured assessment ensures that, in criterion referenced assessment, "learners are assessed and the assessment judgment is made based on whether the learner has reached the required national standards of knowledge, skill and competence for the award" (QQI, 2013 p.5). Central to quality assured assessment is the assumption that learners are assessed in a fair and consistent manner in line with the award standard. Quality assured assessment ensures adherence to the principles of assessment.

The following sets forth the principles of assessment which apply to this document: these principles are based on the QQI (2013) principles for assessment.

#### **Principles of Assessments**

#### 1 Validity

Validity is a fundamental assessment principle ensuring that an assessment measures what it is designed to measure: the relevant standard of knowledge, skill or competence required for an award should be assessed.

Validity in assessment occurs when:

- Assessment is fit for purpose (i.e. a practical assessment assesses a practical skill)
- Learners can produce evidence which can be measured against the award standard
- Assessors can make accurate assessment decisions
- Assessment is accessible to all candidates who are potentially able to achieve it

#### 2 Reliability

Reliability in assessment ensures that assessment measurement is accurate: the knowledge, skills and competence which the assessment measures should produce reliable and accurate results. Reliability in assessment ensures that results are consistent under similar conditions.

Reliability in assessment occurs when:

- The assessment is based on valid assessment techniques
- Assessment conditions are consistent
- Learner evidence is reliable
- Results are consistent over time across various assessors, contexts, conditions and learners

# PRINCIPLES OF ASSESSMENT IN RELATION TO ASSESSMENT DEADLINES: COMPASSONATE CONSIDERATION IN EXTENUATING CIRCUMSTANCES

#### 3 Fair

Fairness in assessment supports the validity and reliability principles and provides equal opportunity to all learners. Fairness in assessment ensures: learners have access to appropriate resources/equipment in assessment; assessment design and implementation are fair to all learners; and policies and procedures exist to ensure fair assessment of learners.

#### 4 Quality

Quality in assessment ensures that all assessment processes are quality assured.

#### 5 Transparency

Transparency in assessment ensures that assessment policy and process provide clarity to all relevant stakeholders.

In order to ensure the fair and consistent assessment of learners, the following procedure should be followed in relation to compassionate consideration in extenuating circumstances. The provider's Quality Assurance System overarches these principles and ensures learner achievement is assessed in a fair and consistent way in line with the national standards for the award.

In order to ensure fairness and consistency across all assessment activities, learners may apply for compassionate consideration in relation to their assessment. Such compassionate consideration could include deferring an assessment activity or providing an extension to an assessment deadline, and will only be granted in extenuating circumstances with supporting relevant evidence/documentation (see Section 3.3). In these circumstances, the learner must not be unfairly disadvantaged as a result of extenuating circumstances. Furthermore, the learner must not gain significant advantage when compared with other learners.

# COMPASSIONATE CONSIDERATION ROLES AND RESPONSIBILITIES



#### **The Programme Coordinator**

The Programme Coordinator is required to ensure all Learning Practitioners are made aware of their roles in relation to planning, conducting and concluding assessment (Learning Practitioner Handbook<sup>1</sup>). In the request for compassionate consideration, the Programme Coordinator, or designated person, is responsible for the management of the application process.

#### **The Learning Practitioner**

The Learning Practitioner is required to inform learners of assessment deadlines in advance (Learning Practitioner Handbook<sup>1</sup>, notice boards, etc.). Where a learner misses an assessment deadline, the Learning Practitioner should **not** accept assessment evidence after that date and should inform the learner of the compassionate consideration process in which s/he can engage.

In the request for compassionate consideration, the Learning Practitioner is required to inform and meet<sup>2</sup> with the Programme Coordinator in order to process the learner application.

#### The Learner

The learner is required to keep up-to-date with relevant assessment deadlines and consequences for missing same (Learning Practitioner Handbook)<sup>1</sup>, notice boards, etc.).

In the event of extenuating circumstances, the learner is required to complete the application for Compassionate Consideration using the guidelines in this document and *Compassionate Consideration Application Form* (see Appendix 2).

The learner is required to provide relevant supporting evidence/documentation (see Section 3.3) of the extenuating circumstances which they felt:

### may have prevented or may prevent him/her from either:

- completing an assessment activity (Learner Record, Collection of Work, Project, Assignment or Skills Demonstration), or
- missing a practical assessment (Examination or Skills Demonstration)

### seriously impaired or may seriously impair his/her performance in:

 a practical assessment (Examination or Skills Demonstration).

This supporting evidence/documentation must be provided within a reasonable **defined timeframe** after the assessment deadline date of application, within **two (2) days** and no more than **two (2) weeks,** after date of application

<sup>&</sup>lt;sup>1</sup> The *Learning Practitioner Handbook* is currently under development

<sup>&</sup>lt;sup>2</sup> In the case of Outreach or Distance Learning Programmes, the <u>Learning Practitioner</u> may be unable to meet with the <u>Programme Coordinator</u> and as such may communicate via phone and/or email.

<sup>&</sup>lt;sup>3</sup> In exceptional cases, the Programme Coordinator or Centre Manager may extend this date due to the specific circumstances which may prevent the learner from obtaining the relevant evidence/documentation within the allowed timeframe.

# COMPASSIONATE CONSIDERATION PROCESS



Where a learner requires compassionate consideration in relation to an assessment deadline the process outlined in Figure 1.1. should be followed

**Figure 1.1 Compassionate Consideration Process** 

5.1. Learner notifies

Learning

Practitioner

of extenuating
circumstances

5.2. Learner completes Compassionate Consideration Application Form

5.3. Meeting of Programme
Coordinator and Learning
Practitioner

5.4 Learner is notified in writing of decision

- The learner notifies the Learning
   Practitioner of the extenuating circumstances which they felt:
- a) may have prevented or may prevent him/her from either:
- completing an assessment activity (Learner Record, Collection of Work, Project, Assignment or Skills Demonstration), or
- missing a practical assessment (Examination or Skills Demonstration)

or

- b) seriously impaired or may seriously impair his/her performance) in:
- a practical assessment (Examination or Skills Demonstration)

The Learning Practitioner informs the learner of Compassionate Consideration procedure.

The learner completes the compassionate consideration Application Form (see Appendix 2) and returns it to the Programme Coordinator within a reasonable defined timeframe one (1) working day<sup>4</sup>. This application MUST be accompanied with relevant supporting evidence/documentation (see Section 3.3) and must state the extenuating circumstances that occurred.

3. A meeting between the Programme

Coordinator and the Learning Practitioner is arranged to discuss the application and decide on the outcome.

The Programme Coordinator and Learning Practitioner must judge each *application* for compassionate consideration or extenuating circumstances on its own merits.

In the event of extenuating circumstances, considerations include:

- Details of extenuating circumstances
- Severity of circumstances
- Application from learner
- Relevant supporting evidence/documentation
- Nature of the assessment activity (Assignment, Project, Learner Record, Collection of Work, Skills Demonstration and Examination)
- The learner is informed in writing (or other appropriate format) of the outcome of the meeting, (granted or declined) within a defined timeframe one (1) working day.

<sup>&</sup>lt;sup>4</sup>In exceptional circumstances, the Programme Coordinator may extend this.



#### a) Granted:

- Revised schedule for assessment activity:

  If the decision is that the compassionate consideration application has been granted, a revised schedule for the assessment activity is agreed between the Learning Practitioner and the learner. Every attempt to facilitate this in the current assessment period will be made. However, due to time constraints, the nature of the assessment activity, and/or resources, this revised schedule may roll into a subsequent certification period
- Examinations: Where a compassionate consideration application has been granted, in the event that the assessment activity is an examination, a new examination paper, marking scheme and set of solutions must be devised: the original examination paper will not be used
- Learner Evidence (Assignment, Project, Learner Record, Collection of Work and Skills Demonstration): Where a

compassionate consideration application has been granted, the learner evidence is accepted by the Programme Coordinator or Learning Practitioner and should be marked and graded in accordance with the standards for the award. The actual marks and grade awarded are determined solely on the basis of the evidence submitted in accordance with the standards for the award. There is no mark/grade penalty under this procedure

#### b) Declined:

If the decision is that the compassionate consideration application has been declined, the Programme Coordinator or Learning Practitioner will refuse to accept the assessment evidence from the learner (Levels 4-6). The learner has the right to appeal this (see Section 6)

# COMPASSIONATE CONSIDERATION APPEALS



The learner has the right to appeal the decision in relation to compassionate consideration application decision. Appeals must be made within a **defined timeframe** one (1) working day of the decision (in exceptional circumstances the Programme Coordinator may extend this). All appeals must be made in writing using the *Appeals Application Form* (see Appendix 3).

#### REFERENCES

QQI (2013) Quality Assuring Assessment Guidelines for Providers. Available at: http://www.qqi.ie/Downloads/Quality%20Assuring%20Assessment%20-%20Guidelines%20for%20Providers%2C%20Revised%202013.pdf [Accessed 28/02/2018]

# APPENDIX 1: STATEMENT FROM PROGRAMME COORDINATOR OR CENTRE MANAGER

In exceptional cases, the <u>Programme Coordinator or Centre Manager</u> may be aware of circumstances whereby the learner may be unable to obtain a statement from another qualified professional (e.g. due to financial constraints) and may complete a statement for the learner while maintaining confidentiality.

	STATEMENT
FROM PR	OGRAMMME COORDINATOR or CENTRE MANAGER
	LICATION for COMPASSIONATE CONSIDERATION
To be com	npleted by the Programme Coordinator or Centre Manager
Programme Coo Centre Manager	
Centre Manager	Name:
Learner Name:	
Course/Program	ıme:
Module(s):	
	Declaration:
I can confirm th to this learner w	nat there are confidential extenuating circumstances which pertain which have:
to this learner w	
to this learner w prevented h or	vhich have:

<sup>&</sup>lt;sup>5</sup>In extenuating circumstances, a learner may feel that their performance has been seriously impaired and may apply for compassionate consideration due to extenuating circumstances. In such cases, relevant evidence/documentation must support the application > (see 3.3) and the application for same must be granted. Where the application has not been granted, the original result of the assessment will apply.

## APPENDIX 2: COMPASSIONATE CONSIDERATION APPLICATION FORM

## **APPLICATION FORM COMPASSIONATE CONSIDERATION** in extenuating circumstances Part A: This section must be completed by the Learner **Centre Name: Learner Name: Learner Address: PPS Number (only** necessary if requested for identification purposes): Course/Programme: Module(s): **Learning Practitioner: Types of Assessment:** Examination Project Skills Demonstration Learner Record Assignment Collection of Work **Assessment Due Date(s):** Reasons for requiring the extension:

## **COMPASSIONATE CONSIDERATION** in extenuating circumstances Part A (continued): This section must be completed by the Learner **Relevant supporting** evidence/documentation included (see 3.3) Yes No Relevant supporting evidence/documentation must be included in your application **Details of supporting relevant evidence/documentation:** Signed: Date: This application form must be submitted to the **Programme Coordinator or designated person**

#### **COMPASSIONATE CONSIDERATION**

in extenuating circumstances

Part B (Office Use): This section must be completed by the Programme Coordinator

Programme Coordinator Name:					
Receipt date of application:  Learning Practitioner Name(s):					
Criteria:	Details:				
Details of extenuating circumstances					
Severity of circumstances					
Application from learner					
Relevant supporting evidence/documentation					
Nature of the assessment activity					
Application:	Granted Declined				
Declaration:					
I can confirm that:					
A meeting with the Learning Practitioner to discuss the Compassionate Consideration application has taken place					
<ul> <li>There is sound evidence to grant or decline the application for Compassionate Consideration based on criteria above</li> </ul>					
If granted, the granting of Compassionate Consideration will not give the learner in question an unfair assessment advantage over other learners undertaking the assessment					
I will inform the learner in writing of the decision regarding Compassionate Consideration					
Signed:	Date:				

COMPASSIONATE CONSIDERATION  in extenuating circumstances  Part C (Office Use): This section must be completed by the Programme Coordinate  and returned to the Learner  This section should accompany relevant assessment material when submitted.			
Learner Na	me:		
Date of De	cision:		
Outcome:			
	Granted Declined		
If granted,	If granted, details of extended deadline etc.		



#### **APPENDIX 3: APPEALS APPLICATION FORM**

(ASSESSMENT DEADLINES: SHORT-TERM EXTENSION/COMPASSIONATE CONSIDERATION)

APPEALS APPLICATION FORM  (Assessment Deadlines: Short-term Extension/Compassionate Consideration)  Part A: This section must be completed by the Learner			
Nature of Appeal:	Assessment Deadlines: Compassionate Consideration Assessment Deadlines: Short-term Extension		
Centre Name:			
Learner Name:			
Date of Application:			
Reason for Appeal:			
Reason why decision v	vas declined:		
Details of supporting evidence provided:			
Date of Application:			
Part B: (Office Use) Th	nis section must be completed by the relevant <b>ETB Manager</b>		
Receipt date of applica	ation:		
Application:	I can confirm that a review of the Application has been completed and that the Appeal is:  Granted Declined		
Reason:			
Signature:	Date:		

# **ASSESSMENT DEADLINES:** COMPASSIONATE CONSIDERATION IN EXTENUATING CIRCUMSTANCES

